EXHIBIT D

1	UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF TEXAS
2	HOUSTON DIVISION CASE NO. 23-645
3	
4	DEPOSITION UPON IN RE: ORAL EXAMINATION
5	OF
6	PROFESSIONAL FEE MATTERS JONATHAN LIPSON CONCERNING THE JACKSON WALKER LAW FIRM
7	WALKER DAW PIRM
8	
9	THIS TRANSCRIPT AND ITS EXHIBITS CONTAIN INFORMATION SUBJECT TO A PROTECTIVE ORDER AND SHALL BE TREATED AND USED ONLY IN ACCORDANCE
10	THEREWITH.
11	
12	TRANSCRIPT of testimony
13	taken stenographically by and before STEPHANIE
14	LYN RAHN, License No. 975352, a Registered
15	Professional Reporter and Notary Public of the
16	State of New Jersey, at Office of the United
17	States Trustee, 900 Market Street, Suite 320,
18	Philadelphia, Pennsylvania on Wednesday, November
19	13, 2024 commencing at 10:37 in the forenoon.
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24	
25	

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r	
1	a former student of mine under the name of
2	Lawyering for Entrepreneurship.
3	Q And did you ever teach
4	professional responsibility or lawyers ethics as
5	a professor at the University of Baltimore?
6	MR. CHARBONEAU: Objection,
7	form.
8	A As a stand alone course, no, but
9	ethics is taught in every course I teach.
10	Q So was it part of your
11	curriculum?
12	A Yes, in the commercial
13	transactions course, part of one of the problems
14	involves tension between the attorney and client,
15	one of the attorneys and one of the clients and
16	issues involving problems of dual representation
17	and disclosure.
18	Q And just so I am clear because
19	there was an objection, am I accurate in saying
20	so you have never taught professional
21	responsibility at the University of Baltimore
22	when you were there?
23	A Correct, as a stand alone
24	course, I did not.
25	Q Did you publish while you were

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	21
1	at the University of Baltimore?
2	A I did, I published, goodness,
3	three or four larger papers and several smaller
4	shorter papers.
5	Q In what area of legal focus were
6	those papers on?
7	A My early papers, they are
8	focused on fraudulent transfer law and
9	bankruptcy; my later papers, they're focused on
10	article nine of the Uniform Commercial Code.
11	Q Did any of them involve focus on
12	the ABA Model Rules of Ethics?
13	A They did not.
14	Q Did any of them involve the
15	focus on the Texas Rules of Disciplinary
16	Procedure?
17	A They did not.
18	Q Where did you go after the
19	University of Baltimore?
20	A In 2004 I was recruited to teach
21	at Temple Law School in Philadelphia.
22	Q And how long were you at Temple
23	after 2004?
24	A I have been at Temple on and off
25	since 2004.

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	22
1	Q And what were you recruited to
2	teach?
3	A I was recruited to teach
4	Lawyering for Entrepreneurship which at the time
5	had a slightly different name, I was recruited to
6	teach payments systems, articles three and four
7	of the Uniform Commercial Code, and article nine
8	of the Uniform Commercial Code, secure
9	transactions.
10	Q While you have been at Temple,
11	have you ever taught professional
12	responsibilities as a course?
13	A As a stand alone course, no.
14	Q Okay. You are making a
15	distinction of a stand alone course. So have you
16	included it in some form or fashion in your other
17	courses?
18	A Yes, I believe there is a view
19	amongst some scholars that ethics and
20	professional responsibility should be taught
21	throughout the curriculum and I am supportive of
22	that view, and so certainly every course I teach
23	currently will involve some moment to focus on
24	ethical issues that might arise.
25	Q And does any of that focus

1	involve the Texas Rules of Disciplinary
2	Procedure?
3	A Not to my knowledge, no.
4	Q Do they involve the ABA Model
5	Rules?
6	A Yes.
7	Q They do. In particular, what
8	rules are you focused on?
9	A The rules involving conflicts of
10	interest and disclosure usually, so I can't
11	remember the numbers exactly but the duty that a
12	client has to that a lawyer has to inform a
13	client about actual or potential conflicts, the
14	duty to inform a client of their opportunity to
15	seek other counsel, to perhaps decide to waive
16	that conflict, duties of candor to courts and to
17	third-parties, I believe it's 4.1, I believe,
18	maybe.
19	Those are the sorts of things
20	that we address in most of the classes that I
21	teach at some point not throughout the whole
22	course.
23	Q Okay. And I'll come back to it,
24	butter I think maybe this would be a good point
25	for us to pull up some of your CV so we can look
۵3	TOT US CO PUTT UP SOME OF YOUR CV SO WE CALL TOOK

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1	UCLA Law Review 1189 (2003).
2	Q I'll go back for a second while
3	we have your Baltimore publications if we could,
4	which is just for purposes of the record, the
5	publications that appear above casebooks up
6	through Directors' Duties to Creditors. Which if
7	any of these focus on the rules of professional
8	conduct, the Texas Disciplinary Rules of
9	Professional Conduct if any?
10	MR. CHARBONEAU: Objection to
11	form.
12	A Sorry, can you clarify the
13	question.
14	Q Do any of these involve
15	examination, consideration or study of the rules,
16	Texas Rules of Disciplinary Conduct?
17	MR. CHARBONEAU: Objection,
18	form.
19	A They do not. They do not.
20	Q Do any of them involve focus,
21	examination or study on the ABA Model Ethical
22	Rules?
23	MR. CHARBONEAU: Objection,
24	form.
25	A I don't think so with one

1	35 Securitization, a Sur-Reply to Professor Schwarz
2	85 7 Southern California Law Review, 1301 (2012)
3	were all written while I was at the University of
4	Wisconsin.
5	The two following papers, Stern
6	Serious, the Article I Judicial Power, Fraudulent
7	Transfers and Leveraged Buyouts, as well as from
8	a Whisper to a Scream, The Supreme Court Does
9	Little to Fix its Supreme Court Mess 2014
10	Wisconsin L Rev Online 1, both of those were
11	started when I was at the University of Wisconsin
12	but as I am sure you understand, academic
13	articles take time and so I continued to work on
14	those while I was back at Temple and I was back
15	at Temple when they appeared.
16	Q In the range of articles that
17	you mentioned here, did any of them focus on the
18	ABA Model Rules of Professional Conduct?
19	A Again, you are using the word
20	focus
21	Q Largely center on?
22	A No.
23	Q Did any of them focus on the
24	Texas Disciplinary Rules of Professional Conduct?
25	A No.

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[38
1	A I don't believe any discuss
2	those rules.
3	Q And do any discuss the Texas
4	Disciplinary Rules of Procedural Conduct?
5	A No, I don't believe so.
6	Q Okay. Your recent professional
7	and community activity section, could you review
8	this, is this list complete and accurate? And in
9	particular if this helps, I am interested in
10	your, is it complete and accurate as to your
11	involvement in legal or law related
12	organizations?
13	A I would say it is definitely
14	accurate, it is substantially complete, it is
15	possible that I left one or too small things off.
16	Q Well, let me ask you this, have
17	you ever served on a section involving a law or
18	legal organization that involves consideration of
19	ethical rules governing lawyers?
20	MR. CHARBONEAU: Objection to
21	form.
22	A Tell me what the word involve
23	means?
24	Q Sure, serving on a committee,
25	for example, an advisory committee for ethical

1	rules.
2	A The I am active in the
3	business law section of the American Bar
4	Association and they do not have a designated
5	ethics committee. When I was the chair of the
6	business law education committee, we put on a
7	program involving ethics in transactional
8	practice.
9	But other than that, no, I have
10	not sat on a formal committee involving ethics.
11	Q Okay. And have you ever served
12	in any capacity of drafting or editing an ethics
13	textbook?
14	A No.
15	Q Okay. Could we flip the page
16	and go to your significant expert engagements.
17	Could we start within In Re: Enron Corp, and you
18	were a consulting expert to the official
19	committee of unsecured creditors. Could you
20	broadly describe what your work entailed, what
21	sort of expert opinions were you offering?
22	A Sure. In Enron, I was retained
23	as an expert by the official committee of
24	unsecured creditors on questions really involving
25	the role of the lawyers at Enron prior to
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1	CEDETEL CARE OF CERTCED
1	CERTIFICATE OF OFFICER
2	
3	I CERTIFY that the foregoing is
4	a true and accurate transcript of the testimony
5	and proceedings as reported stenographically by
6	me at the time, place and on the date as
7	hereinbefore set forth.
8	I DO FURTHER CERTIFY that I am
9	neither a relative nor employee nor attorney nor
10	counsel of any of the parties to this action, and
11	that I am neither a relative nor employee of such
12	attorney or counsel, and that I am not
13	financially interested in the action.
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20	Stephanie Lyn Rahn
21	- Stephane Lyn Kann
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24	My Commission Expires April 18, 2027
25	API II 10, 2027